

**FEC
FORM 3X****REPORT OF RECEIPTS
AND DISBURSEMENTS**
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.

12FE4M5

Principal Life Insurance Company Political Action Committee

ADDRESS (number and street) ▼

711 High Street

Government Relations

☐ Check if different than previously reported. (ACC)

Des Moines

IA

50392-0220

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

C C00128918

3. IS THIS
REPORT☒NEW
(N)

OR

☐AMENDED
(A)

4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

☐ April 15
Quarterly Report (Q1)☐ July 15
Quarterly Report (Q2)☐ October 15
Quarterly Report (Q3)☐ January 31
Year-End Report (YE)☐ July 31 Mid-Year
Report (Non-election
Year Only) (MY)☐ Termination Report
(TER)(b) Monthly
Report
Due On:☐ Feb 20 (M2)☐ May 20 (M5)☐ Aug 20 (M8)☐ Nov 20 (M11)
(Non-Election
Year Only)☒ Mar 20 (M3)☐ Jun 20 (M6)☐ Sep 20 (M9)☐ Dec 20 (M12)
(Non-Election
Year Only)☐ Apr 20 (M4)☐ Jul 20 (M7)☐ Oct 20 (M10)☐ Jan 31 (YE)

(c) 12-Day

PRE-Election

Report for the:

☐ Primary (12P)☐ Convention (12C)☐ General (12G)☐ Special (12S)☐ Runoff (12R)

Election on

M M M /

D D D /

Y Y Y Y Y Y Y Y

in the
State of

(d) 30-Day

POST-Election

Report for the:

☐ General (30G)☐ Runoff (30R)☐ Special (30S)

Election on

M M M /

D D D /

Y Y Y Y Y Y Y Y

in the
State of

5. Covering Period

M M M /

D D D /

Y Y Y Y Y Y Y Y

through

M M M /

D D D /

Y Y Y Y Y Y Y Y

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Mrs. Susan Jordan

Signature of Treasurer

Mrs. Susan Jordan

[Electronically Filed]

Date

M M M /

D D D /

Y Y Y Y Y Y Y Y

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office
Use
Only**FEC FORM 3X**
Rev. 12/2004

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

Principal Life Insurance Company Political Action Committee

Report Covering the Period:

From:

 M M / D D / Y Y Y Y Y
 02 / 01 / 2013

To:

 M M / D D / Y Y Y Y Y
 02 / 28 / 2013

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, 2013		54878.67
(b) Cash on Hand at Beginning of Reporting Period.....	69265.31	
(c) Total Receipts (from Line 19)	18469.50	36856.14
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	87734.81	91734.81
7. Total Disbursements (from Line 31)	24000.00	28000.00
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	63734.81	63734.81
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	



This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

Principal Life Insurance Company Political Action Committee

Report Covering the Period:

From:

M M M	/	D D D	/	Y Y Y Y Y Y
02	/	01	/	2013

To:

M M M	/	D D D	/	Y Y Y Y Y Y
02	/	28	/	2013

I. Receipts
COLUMN A
Total This Period

COLUMN B
Calendar Year-to-Date

11. Contributions (other than loans) From:

(a) Individuals/Persons Other

Than Political Committees

(i) Itemized (use Schedule A).....

4100.38

5338.81

(ii) Unitemized

14369.12

31517.33

(iii) TOTAL (add

Lines 11(a)(i) and (ii)..... ▶

18469.50

36856.14

(b) Political Party Committees

0.00

0.00

(c) Other Political Committees

(such as PACs).....

0.00

0.00

(d) Total Contributions (add Lines

11(a)(iii), (b), and (c)) (Carry

Totals to Line 33, page 5)

18469.50

36856.14

12. Transfers From Affiliated/Other

Party Committees.....

0.00

0.00

13. All Loans Received

0.00

0.00

14. Loan Repayments Received.....

0.00

0.00

15. Offsets To Operating Expenditures

(Refunds, Rebates, etc.)

(Carry Totals to Line 37, page 5).....

0.00

0.00

16. Refunds of Contributions Made

to Federal Candidates and Other

Political Committees.....

0.00

0.00

17. Other Federal Receipts

(Dividends, Interest, etc.).....

0.00

0.00

18. Transfers from Non-Federal and Levin Funds

(a) Non-Federal Account

(from Schedule H3)

0.00

0.00

(b) Levin Funds (from Schedule H5)

0.00

0.00

(c) Total Transfers (add 18(a) and 18(b))..

0.00

0.00

19. Total Receipts (add Lines 11(d),
12, 13, 14, 15, 16, 17, and 18(c))..... ▶

18469.50

36856.14

20. Total Federal Receipts

(subtract Line 18(c) from Line 19)

18469.50

36856.14

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures	0.00	0.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))	0.00	0.00
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	24000.00	26000.00
24. Independent Expenditures (use Schedule E)	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	0.00
29. Other Disbursements	0.00	2000.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	24000.00	28000.00
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	24000.00	28000.00

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	18469.50	36856.14
34. Total Contribution Refunds (from Line 28(d))	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	18469.50	36856.14
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ►	0.00	0.00
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) ►	0.00	0.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 6 OF 19

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Principal Life Insurance Company Political Action Committee

Full Name (Last, First, Middle Initial)

A. Ned Alan Burmeister

Mailing Address 711 High St

City

Des Moines

State

IA

Zip Code

50392-0001

FEC ID number of contributing
federal political committee.

C

Name of Employer

Principal International, Inc.

Occupation

SVP & COO - PI

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

400.00

Date of Receipt

02 / 01 / 2013

Transaction ID : 201303055752-1297

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

B. Ned Alan Burmeister

Mailing Address 711 High St

City

Des Moines

State

IA

Zip Code

50392-0001

FEC ID number of contributing
federal political committee.

C

Name of Employer

Principal International, Inc.

Occupation

SVP & COO - PI

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

400.00

Date of Receipt

02 / 15 / 2013

Transaction ID : 201303055752-1298

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

C. Gregory John Burrows

Mailing Address 711 High St

City

Des Moines

State

IA

Zip Code

50392-0001

FEC ID number of contributing
federal political committee.

C

Name of Employer

Principal Life Ins Co.

Occupation

SVP - Retirement & Invest Svcs

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

380.76

Date of Receipt

02 / 01 / 2013

Transaction ID : 201303055752-563

Amount of Each Receipt this Period

95.19

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

295.19

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Principal Life Insurance Company Political Action Committee

Full Name (Last, First, Middle Initial)

A. Gregory John Burrows

Mailing Address 711 High St

City

Des Moines

State

IA

Zip Code

50392-0001

FEC ID number of contributing
federal political committee.

C

Name of Employer

Principal Life Ins Co.

Occupation

SVP - Retirement & Invest Svcs

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

380.76

Date of Receipt

02 / 15 / 2013

Transaction ID : 201303055752-564

Amount of Each Receipt this Period

95.19

Full Name (Last, First, Middle Initial)

B. Timothy Mark Dunbar

Mailing Address 711 High St

City

Des Moines

State

IA

Zip Code

50392-0001

FEC ID number of contributing
federal political committee.

C

Name of Employer

Principal Life Ins Co.

Occupation

SVP & Chief Inv Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

253.84

Date of Receipt

02 / 15 / 2013

Transaction ID : 201303055752-1704

Amount of Each Receipt this Period

63.46

Full Name (Last, First, Middle Initial)

C. Gregory Bernard Elming

Mailing Address 711 High St

City

Des Moines

State

IA

Zip Code

50392-0001

FEC ID number of contributing
federal political committee.

C

Name of Employer

Principal Life Ins Co.

Occupation

SVP & Chief Risk Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

380.76

Date of Receipt

02 / 01 / 2013

Transaction ID : 201303055752-565

Amount of Each Receipt this Period

95.19

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

253.84

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
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NAME OF COMMITTEE (In Full)

Principal Life Insurance Company Political Action Committee

Full Name (Last, First, Middle Initial)

A. Gregory Bernard Elming

Mailing Address 711 High St

City

Des Moines

State

IA

Zip Code

50392-0001

FEC ID number of contributing
federal political committee.

C

Name of Employer

Principal Life Ins Co.

Occupation

SVP & Chief Risk Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

380.76

Date of Receipt

M M / D D / Y Y Y Y Y
02 / 15 / 2013

Transaction ID : 201303055752-566

Amount of Each Receipt this Period

95.19

Full Name (Last, First, Middle Initial)

B. Ralph Craig Eucher

Mailing Address 711 High St

City

Des Moines

State

IA

Zip Code

50392-0001

FEC ID number of contributing
federal political committee.

C

Name of Employer

Principal Life Ins Co.

Occupation

SVP HR & Corp Svcs

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

760.00

Date of Receipt

M M / D D / Y Y Y Y Y
02 / 01 / 2013

Transaction ID : 201303055752-1401

Amount of Each Receipt this Period

190.00

Full Name (Last, First, Middle Initial)

C. Ralph Craig Eucher

Mailing Address 711 High St

City

Des Moines

State

IA

Zip Code

50392-0001

FEC ID number of contributing
federal political committee.

C

Name of Employer

Principal Life Ins Co.

Occupation

SVP HR & Corp Svcs

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

760.00

Date of Receipt

M M / D D / Y Y Y Y Y
02 / 15 / 2013

Transaction ID : 201303055752-1402

Amount of Each Receipt this Period

190.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

475.19

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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NAME OF COMMITTEE (In Full)

Principal Life Insurance Company Political Action Committee

Full Name (Last, First, Middle Initial)

A. Douglas Alan Fick

Mailing Address 711 High St

City

Des Moines

State

IA

Zip Code

50392-0001

FEC ID number of contributing
federal political committee.

C

Name of Employer

Principal Life Ins Co.

Occupation

VP & CIO - US Ins. Solutions

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

02 / 15 / 2013

Transaction ID : 201303055752-468

Amount of Each Receipt this Period

55.00

Full Name (Last, First, Middle Initial)

B. Victoria Whitaker Gray

Mailing Address 51 Germantown Ct

Principal Financial Group, Ste 101

City

Cordova

State

TN

Zip Code

38018-4278

FEC ID number of contributing
federal political committee.

C

Name of Employer

Principal Life Ins Co.

Occupation

Sr Account Exec-Retirement Svc

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.00

Date of Receipt

02 / 01 / 2013

Transaction ID : 201303055752-1737

Amount of Each Receipt this Period

75.00

Full Name (Last, First, Middle Initial)

C. Victoria Whitaker Gray

Mailing Address 51 Germantown Ct

Principal Financial Group, Ste 101

City

Cordova

State

TN

Zip Code

38018-4278

FEC ID number of contributing
federal political committee.

C

Name of Employer

Principal Life Ins Co.

Occupation

Sr Account Exec-Retirement Svc

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.00

Date of Receipt

02 / 15 / 2013

Transaction ID : 201303055752-1738

Amount of Each Receipt this Period

75.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

205.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER:
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PAGE 10 OF 19

☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Principal Life Insurance Company Political Action Committee

Full Name (Last, First, Middle Initial)

A. Mark A. Hanrahan

Mailing Address 711 High St

City

Des Moines

State

IA

Zip Code

50392-0001

FEC ID number of contributing
federal political committee.

C

Name of Employer

Principal Life Ins Co.

Occupation

Mng Dir-CRE

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y
02 / 01 / 2013

Transaction ID : 201303055752-1089

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

B. Mark A. Hanrahan

Mailing Address 711 High St

City

Des Moines

State

IA

Zip Code

50392-0001

FEC ID number of contributing
federal political committee.

C

Name of Employer

Principal Life Ins Co.

Occupation

Mng Dir-CRE

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y
02 / 15 / 2013

Transaction ID : 201303055752-1090

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

C. Joyce N. Hoffman

Mailing Address 711 High St

City

Des Moines

State

IA

Zip Code

50392-0001

FEC ID number of contributing
federal political committee.

C

Name of Employer

Principal Life Ins Co.

Occupation

SVP & Corporate Secretary

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

253.84

Date of Receipt

M M / D D / Y Y Y Y Y
02 / 15 / 2013

Transaction ID : 201303055752-812

Amount of Each Receipt this Period

63.46

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

263.46

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 11 OF 19

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Principal Life Insurance Company Political Action Committee

Full Name (Last, First, Middle Initial)

A. Daniel Joseph Houston

Mailing Address 711 High St

City

Des Moines

State

IA

Zip Code

50392-0001

FEC ID number of contributing
federal political committee.

C

Name of Employer

Principal Life Ins Co.

Occupation

President - Ret, Ins & Fin Svc

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

769.20

Date of Receipt

02 / 01 / 2013

Transaction ID : 201303055752-323

Amount of Each Receipt this Period

192.30

Full Name (Last, First, Middle Initial)

B. Daniel Joseph Houston

Mailing Address 711 High St

City

Des Moines

State

IA

Zip Code

50392-0001

FEC ID number of contributing
federal political committee.

C

Name of Employer

Principal Life Ins Co.

Occupation

President - Ret, Ins & Fin Svc

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

769.20

Date of Receipt

02 / 15 / 2013

Transaction ID : 201303055752-324

Amount of Each Receipt this Period

192.30

Full Name (Last, First, Middle Initial)

C. Julia M. Lawler-Johnson

Mailing Address 711 High St

City

Des Moines

State

IA

Zip Code

50392-0001

FEC ID number of contributing
federal political committee.

C

Name of Employer

Principal Life Ins Co.

Occupation

SVP - Investment Services

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

253.84

Date of Receipt

02 / 15 / 2013

Transaction ID : 201303055752-820

Amount of Each Receipt this Period

63.46

SUBTOTAL of Receipts This Page (optional)..... ►

448.06

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 12 OF 19

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Principal Life Insurance Company Political Action Committee

Full Name (Last, First, Middle Initial)

A. Terrance Joseph Lillis

Mailing Address 711 High St

City

Des Moines

State

IA

Zip Code

50392-0001

FEC ID number of contributing
federal political committee.

C

Name of Employer

Principal Life Ins Co.

Occupation

SVP & Chief Financial Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

769.20

Date of Receipt

02 / 01 / 2013

Transaction ID : 201303055752-1675

Amount of Each Receipt this Period

192.30

Full Name (Last, First, Middle Initial)

B. Terrance Joseph Lillis

Mailing Address 711 High St

City

Des Moines

State

IA

Zip Code

50392-0001

FEC ID number of contributing
federal political committee.

C

Name of Employer

Principal Life Ins Co.

Occupation

SVP & Chief Financial Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

769.20

Date of Receipt

02 / 15 / 2013

Transaction ID : 201303055752-1676

Amount of Each Receipt this Period

192.30

Full Name (Last, First, Middle Initial)

C. James P. McCaughan

Mailing Address 888 7th Ave

FI 25

City

New York

State

NY

Zip Code

10106-2599

FEC ID number of contributing
federal political committee.

C

Name of Employer

Principal Life Ins Co.

Occupation

President Global Asset Mgmt

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

769.20

Date of Receipt

02 / 01 / 2013

Transaction ID : 201303055752-613

Amount of Each Receipt this Period

192.30

SUBTOTAL of Receipts This Page (optional)..... ►

576.90

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 13 OF 19

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Principal Life Insurance Company Political Action Committee

Full Name (Last, First, Middle Initial)

A. James P. McCaughan

Mailing Address 888 7th Ave
 FL 25

City State Zip Code
 New York NY 10106-2599

FEC ID number of contributing
federal political committee.

C

Name of Employer

Principal Life Ins Co.

Occupation

President Global Asset Mgmt

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

769.20

Date of Receipt

M M / D D / Y Y Y Y Y
 02 / 15 / 2013

Transaction ID : 201303055752-614

Amount of Each Receipt this Period

192.30

Full Name (Last, First, Middle Initial)

B. Barbara Ann McKenzie

Mailing Address 711 High St

City State Zip Code
 Des Moines IA 50392-0001

FEC ID number of contributing
federal political committee.

C

Name of Employer

Principal Life Ins Co.

Occupation

Exec Dir - COO & Boutique Ops

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

380.76

Date of Receipt

M M / D D / Y Y Y Y Y
 02 / 01 / 2013

Transaction ID : 201303055752-109

Amount of Each Receipt this Period

95.19

Full Name (Last, First, Middle Initial)

C. Barbara Ann McKenzie

Mailing Address 711 High St

City State Zip Code
 Des Moines IA 50392-0001

FEC ID number of contributing
federal political committee.

C

Name of Employer

Principal Life Ins Co.

Occupation

Exec Dir - COO & Boutique Ops

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

380.76

Date of Receipt

M M / D D / Y Y Y Y Y
 02 / 15 / 2013

Transaction ID : 201303055752-110

Amount of Each Receipt this Period

95.19

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

382.68

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Principal Life Insurance Company Political Action Committee

Full Name (Last, First, Middle Initial)

A. Timothy Jon Minard

Mailing Address 711 High St

City

Des Moines

State

IA

Zip Code

50392-0001

FEC ID number of contributing
federal political committee.

C

Name of Employer

Principal Life Ins Co.

Occupation

SVP - Distribution

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

260.00

Date of Receipt

02 / 15 / 2013

Transaction ID : 201303055752-1708

Amount of Each Receipt this Period

65.00

Full Name (Last, First, Middle Initial)

B. Gary Paul Scholten

Mailing Address 711 High St

City

Des Moines

State

IA

Zip Code

50392-0001

FEC ID number of contributing
federal political committee.

C

Name of Employer

Principal Life Ins Co.

Occupation

SVP & CIO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

676.92

Date of Receipt

02 / 01 / 2013

Transaction ID : 201303055752-537

Amount of Each Receipt this Period

169.23

Full Name (Last, First, Middle Initial)

C. Gary Paul Scholten

Mailing Address 711 High St

City

Des Moines

State

IA

Zip Code

50392-0001

FEC ID number of contributing
federal political committee.

C

Name of Employer

Principal Life Ins Co.

Occupation

SVP & CIO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

676.92

Date of Receipt

02 / 15 / 2013

Transaction ID : 201303055752-538

Amount of Each Receipt this Period

169.23

SUBTOTAL of Receipts This Page (optional)..... ►

403.46

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 15 OF 19

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Principal Life Insurance Company Political Action Committee

Full Name (Last, First, Middle Initial)

A. Karen Elizabeth Shaff

Mailing Address 711 High St

City

Des Moines

State

IA

Zip Code

50392-0001

FEC ID number of contributing
federal political committee.

C

Name of Employer

Principal Life Ins Co.

Occupation

Exec VP & General Counsel

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

440.00

Date of Receipt

M M / D D / Y Y Y Y Y
02 / 01 / 2013

Transaction ID : 201303055752-865

Amount of Each Receipt this Period

110.00

Full Name (Last, First, Middle Initial)

B. Karen Elizabeth Shaff

Mailing Address 711 High St

City

Des Moines

State

IA

Zip Code

50392-0001

FEC ID number of contributing
federal political committee.

C

Name of Employer

Principal Life Ins Co.

Occupation

Exec VP & General Counsel

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

440.00

Date of Receipt

M M / D D / Y Y Y Y Y
02 / 15 / 2013

Transaction ID : 201303055752-866

Amount of Each Receipt this Period

110.00

Full Name (Last, First, Middle Initial)

C. Deanna Dawnette Strable-Soethout

Mailing Address 711 High St

City

Des Moines

State

IA

Zip Code

50392-0001

FEC ID number of contributing
federal political committee.

C

Name of Employer

Principal Life Ins Co.

Occupation

SVP - U.S. Insurance Solutions

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

384.00

Date of Receipt

M M / D D / Y Y Y Y Y
02 / 01 / 2013

Transaction ID : 201303055752-383

Amount of Each Receipt this Period

96.00

SUBTOTAL of Receipts This Page (optional)..... ►

316.00

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Principal Life Insurance Company Political Action Committee

Full Name (Last, First, Middle Initial)

A. Deanna Dawnette Strable-Soethout

Mailing Address 711 High St

City State Zip Code
Des Moines IA 50392-0001

FEC ID number of contributing
federal political committee.

C

Name of Employer Occupation
Principal Life Ins Co. SVP - U.S. Insurance Solutions

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

384.00

Date of Receipt

M M / D D / Y Y Y Y Y
02 15 2013

Transaction ID : 201303055752-384

Amount of Each Receipt this Period

96.00

Full Name (Last, First, Middle Initial)

B. Larry Donald Zimpleman

Mailing Address 711 High St

City State Zip Code
Des Moines IA 50392-0001

FEC ID number of contributing
federal political committee.

C

Name of Employer Occupation
Principal Life Ins Co. Chairman, President & CEO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

769.20

Date of Receipt

M M / D D / Y Y Y Y Y
02 01 2013

Transaction ID : 201303055752-967

Amount of Each Receipt this Period

192.30

Full Name (Last, First, Middle Initial)

C. Larry Donald Zimpleman

Mailing Address 711 High St

City State Zip Code
Des Moines IA 50392-0001

FEC ID number of contributing
federal political committee.

C

Name of Employer Occupation
Principal Life Ins Co. Chairman, President & CEO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

769.20

Date of Receipt

M M / D D / Y Y Y Y Y
02 15 2013

Transaction ID : 201303055752-968

Amount of Each Receipt this Period

192.30

SUBTOTAL of Receipts This Page (optional)..... ►

480.60

TOTAL This Period (last page this line number only)..... ►

4100.38

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 17 OF 19

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Principal Life Insurance Company Political Action Committee

Full Name (Last, First, Middle Initial)

A. Braley for Congress

Mailing Address PO Box 390

City Waterloo	State IA	Zip Code 50704
------------------	-------------	-------------------

Purpose of Disbursement
2014 Primary

011

Candidate Name

Bruce L. BraleyCategory/
TypeOffice Sought: ☒ House
☐ Senate
☐ PresidentDisbursement For: 2014
☒ Primary ☐ General
☐ Other (specify) ▼

State: IA District: 01

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
02		06		2013

Transaction ID : 0F9B4045791E7A4A308

Amount of Each Disbursement this Period

2000.00

Full Name (Last, First, Middle Initial)

B. Financial Services Roundtable PACMailing Address 1001 Pennsylvania Avenue, NW
Suite 500 South

City Washington	State DC	Zip Code 20004-2502
--------------------	-------------	------------------------

Purpose of Disbursement
2013 Contribution

011

Candidate Name

Financial Services Roundtable PACCategory/
TypeOffice Sought: ☐ House
☐ Senate
☐ PresidentDisbursement For: 2013
☐ Primary ☐ General
☒ Other (specify) ▼
Contribution

State: District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
02		07		2013

Transaction ID : EA54B86222900455C8E

Amount of Each Disbursement this Period

5000.00

Full Name (Last, First, Middle Initial)

C. Friends of Max Baucus

Mailing Address PO Box 586

City Helena	State MT	Zip Code 59624
----------------	-------------	-------------------

Purpose of Disbursement
2014 Primary

011

Candidate Name

Max S. BaucusCategory/
TypeOffice Sought: ☐ House
☒ Senate
☐ PresidentDisbursement For: 2014
☒ Primary ☐ General
☐ Other (specify) ▼

State: MT District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
02		12		2013

Transaction ID : 77DC40F037AB354A525

Amount of Each Disbursement this Period

1000.00

SUBTOTAL of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

8000.00

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Principal Life Insurance Company Political Action Committee

Full Name (Last, First, Middle Initial)

A. Investment Company Institute Political Action Committee

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
02		07		2013

Mailing Address 1401 H Street NW Suite 1200

Transaction ID : 56DB450A416EFD09593

City	State	Zip Code
Washington	DC	20005

Amount of Each Disbursement this Period

Purpose of Disbursement
2013 Contribution

011

Amount of Each Disbursement this Period
4000.00

Candidate Name

Investment Company Institute Political Action CommitteeCategory/
Type

Office Sought:	<input type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2013

<input type="checkbox"/> Primary	<input type="checkbox"/> General
<input checked="" type="checkbox"/> Other (specify) ▼	

State: District:

Contribution

Full Name (Last, First, Middle Initial)

B. Kevin McCarthy for Congress

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
02		14		2013

Mailing Address PO Box 12667

Transaction ID : 9465E443997D8F928DA

City	State	Zip Code
Bakersfield	CA	93389-2667

Amount of Each Disbursement this Period

Purpose of Disbursement
2014 Primary

011

Amount of Each Disbursement this Period
1000.00

Candidate Name

Kevin McCarthyCategory/
Type

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2014

<input checked="" type="checkbox"/> Primary	<input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

State: CA District: 23

Full Name (Last, First, Middle Initial)

C. King for Congress

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
02		07		2013

Mailing Address 202 W 2nd St.
PO Box 398**Transaction ID : 89C7122090D85B100A3**

City	State	Zip Code
Wall Lake	IA	51466

Amount of Each Disbursement this Period

Purpose of Disbursement
2014 Primary

011

Amount of Each Disbursement this Period
2000.00

Candidate Name

Steven Arnold KingCategory/
Type

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2014

<input checked="" type="checkbox"/> Primary	<input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

State: IA District: 04

SUBTOTAL of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

7000.00

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 19 OF 19

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Principal Life Insurance Company Political Action Committee

Full Name (Last, First, Middle Initial)

A. Latham for Congress

Mailing Address PO Box 8237

City Des Moines	State IA	Zip Code 50301-8237
--------------------	-------------	------------------------

Purpose of Disbursement
2014 Primary

Candidate Name

Thomas LathamOffice Sought: ☒ House
☐ Senate
☐ PresidentDisbursement For: 2014
☒ Primary ☐ General
☐ Other (specify) ▼

State: IA District: 03

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
02		07		2013

Transaction ID : FDF2C41B1C147A89C8B

Amount of Each Disbursement this Period

2000.00

Full Name (Last, First, Middle Initial)

B. Loeb sack for Congress

Mailing Address PO Box 3013

City Iowa City	State IA	Zip Code 52244
-------------------	-------------	-------------------

Purpose of Disbursement
2014 Primary

Candidate Name

David Loeb sackOffice Sought: ☒ House
☐ Senate
☐ PresidentDisbursement For: 2014
☒ Primary ☐ General
☐ Other (specify) ▼

State: IA District: 02

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
02		07		2013

Transaction ID : 4232FBFD950F532381D

Amount of Each Disbursement this Period

2000.00

Full Name (Last, First, Middle Initial)

C. New Democrat Coalition Political Action Committee Aka Ndc PACMailing Address 700 13th Street, NW
Suite 600

City Washington	State DC	Zip Code 20005
--------------------	-------------	-------------------

Purpose of Disbursement
2013 Contribution

Candidate Name

New Democrat Coalition Political Action Committee Aka Ndc PACOffice Sought: ☐ House
☐ Senate
☐ PresidentDisbursement For: 2013
☐ Primary ☐ General
☒ Other (specify) ▼ Contribution

State: District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
02		07		2013

Transaction ID : 8FD417871B58BB61313

Amount of Each Disbursement this Period

5000.00

SUBTOTAL of Disbursements This Page (optional).....▶**TOTAL** This Period (last page this line number only).....▶

9000.00

24000.00
